



## **Anti Money Laundering Training (AML) for Latin American and Caribbean Parliamentarians**

### **FINAL REPORT**

#### **1. Project Overview**

The *Anti-Money Laundering Training for Latin American and Caribbean Parliamentarians* seminar brought together parliamentarians from across the Latin American and Caribbean region in the Senate of Mexico (Mexico City) for a two day capacity building seminar on anti-money laundering initiatives and combating the financing of terrorism. The seminar was funded by the Canadian Department of Foreign Affairs, Human Security Program, and Scotiabank and was hosted by the Senate of Mexico.

Under the leadership of Senator César Jauregui (host) and Hon. Roy Cullen, MP Canada (Chair of the GOPAC AML Initiative) and with contributions from a number of technical experts, parliamentarians undertook discussions on anti-corruption, AML and FT (Financing of Terrorism) and the role/importance of parliamentarians, as well as a comprehensive approach to the UN international treaties and conventions and FATF recommendations. The emphasis in the program on one hand was on improving the understanding on the part of parliamentarians of money laundering, its impacts, and international and national initiatives in the region to combat it. On the other hand, it was to develop understanding of what parliamentarians could do to help combat money laundering.

The result we were seeking from the seminar was to have participants develop and propose an action plan for the Latin American and Caribbean regions for consideration by the LAPAC and CaribPAC chapters, and if accepted, implemented by those chapters.

Participants concluded that the best way to achieve this result would be to develop a single resolution proposing a series of actions at both the global and regional levels. The resolution produced by the end of the seminar was signed by nearly all of the parliamentary participants present.<sup>1</sup> Those present from the Latin American chapter agreed to bring the resolution to the upcoming LAPAC General Meeting in Buenos Aires,

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<sup>1</sup> The only exception was Maria del Carmen Falbo. A member of GOPAC and the LAPAC Executive, she is a former parliamentarian and now General Attorney of the Supreme Court of Justice of the Province of Buenos Aires. As such she did not feel it appropriate to sign the resolution.

Argentina (April, 2006) for further discussion and implementation. The sole member from the Caribbean region at the seminar will raise this issue as part of the steps she is taking to form a Caribbean chapter. The GOPAC AML Chair, Hon. Roy Cullen, plans to use the resolution as a basis from which to develop future global AML activities.

## 2. Methodology

The methodology for the seminar was to use an international team of parliamentarians – lead by Hon. Roy Cullen (MP, Canada) – with a background on AML issues who would meet with the experts of the International Compliance Association and other organizations in Mexico City. The international team would ensure that the content of the program and materials provided meet parliamentarians learning needs, but to pass on this information in the form of training to the leadership of the Latin American and Caribbean chapters of GOPAC. This approach was based on past experience in which GOPAC found that using peers and “parliamentarian friendly” language enhances the learning experience and mobilizes parliamentarians for further action.

Unfortunately, due to time constraints GOPAC was unable to secure the participation of all the proposed international parliamentarians and experts - primarily due to prior commitments. To compensate for the missing technical experts, GOPAC engaged experts from Scotiabank (Alejandro Tovar Alvarez) and from the Instituto Nacional de Ciencias Penales (Professor Ricardo Gluyas Millan) to complement that provided by the International Compliance Association and by Mr. Cullen.

## 3. Program



**Anti Money  
Laundering  
Training for**



**Caribbean Parliamentarians**

**Latin American and**

**Thursday, March 2<sup>nd</sup>, 2006**

Location: Senate of Mexico  
Edificio Donzeles  
Sala Ramos Aripedes

**3:00 – 3:45 p.m. Welcome Lunch**

**Speaker: Senator Cesar Jauregui**

**3:45 – 4:30 p.m.: What is AML and What is the Role of Parliamentarians in Reducing its Incidence?**

- a) Welcome
- b) Introduction to Anti Corruption, Money Laundering and Financing of Terrorism (International and Regional scope) and the Role of Parliamentarians

Speakers: Senator César Jauregui (Senator- Mexico, Vice President of Senate – Mexico, Treasurer – GOPAC)  
Hon. Roy Cullen (MP, Canada), Chair of GOPAC-AML International Program

**4:30 – 6:00 p.m: Core Features of International Regimes**

- a) International Treaties and Conventions on AML and Terrorism Financing - Legislative considerations and recommendations
- b) Control Organisations and Regulatory aspects on AML/FT
- d) Effective Legislative Tools

***Core Features of A Latin American/Caribbean Regional Response***

AML Legislation in Latin America

- b) Good Legislative Practices from the Latin American Region (Country Case Examples)
- c) Operational Control Organizations
- d) The Regional Investigative Parliamentary Commissions on AML (Cases and resolutions)
- e) Towards a more effective Legislative framework on AML and Terrorism Financing
- f) Mechanisms of the International Juridical Co-operation on AML

Technical Expert(s): Alejandro Tovar Alvarez, Scotiabank

**6:00 – 6:15 p.m.: Coffee Break**

**6:15 – 7:45 p.m. Core Features of International and Regional Responses**

Moderators: Hon. Roy Cullen (MP, Canada), Chair of GOPAC-AML International Program  
Senator Mary K. King, Trinidad and Tobago, Chair of CaribPAC

Regional Experiences: Dip. Colbert Martins (Brazil) and Dip. Maria del Carmen Falbo (Argentina)

**Friday, March 3<sup>rd</sup>, 2006**

Room Gonzalez Torres- Torre Caballito  
Senate of Mexico  
Street: Paseo de la Reforma 10  
12 Floor

\*Please note that a working breakfast will be provided.

**9:00 a.m.      *Financing of Terrorism and Asset Recovery***

- a) Rationale for Asset Recovery
- b) Dynamics of offshore financial centers
- c) Terrorism methodologies to cover financial movements
- d) Identifying underlying problems of predicate criminality
- e) Optimizing International Mechanism for Assets Recovery
  - e.1. Criminal Recovery Methods
  - e.2. Civil Recovery Methods - Legislative considerations
  - e.3. Combining civil and criminal methods
- d) Formulating a specific assets recovery legislation

Technical Expert(s): Marcelo Decoud, General Regional Director for Latin American and Hispanic Caribbean of the International Compliance Association  
Alejandro Tovar Alvarez, Scotiabank

Moderators: Hon. Roy Cullen (MP, Canada), Chair of GOPAC-AML International Program  
Senator Mary K. King (Senator, Trinidad and Tobago), Chair of CaribPAC

**11:30 – 11:45 a.m.      *Coffee Break***

**11:45 – 2:00 p.m.      *Action Planning***

- a) Discussion on the need for further briefing and orientation
- b) How to provide political support and motivation on AML in the Latin American/Caribbean region
- c) Discussion and draft action plan for next steps.

Technical Expert(s): Marcelo Decoud, General Regional Director for Latin American and Hispanic Caribbean of the International Compliance Association  
Alejandro Tovar Alvarez, Scotiabank

Moderators: Hon. Roy Cullen (MP, Canada), Chair of GOPAC-AML International Program  
Senator Mary K. King (Senator, Trinidad and Tobago), Chair of CaribPAC

**2:00 – 3:00 p.m.      *Lunch***

**3:00 – 4:00 p.m.      *Action Planning Con't Including...***

***Meeting of International AML Team to discuss Follow Up and Next steps.***

Parliamentary Discussants: Hon. Roy Cullen (MP, Canada), Chair of GOPAC-AML International Program

Senator Cesar Jauregui, (Senator- Mexico), Vice President of Senate – Mexico, Treasurer – GOPAC

Senator Mary K. King (Senator, Trinidad and Tobago), Chair of CaribPAC

GOPAC Representative: Meaghan Campbell, GOPAC Program Manager

LAPAC Representative: Norma Calero, LAPAC Secretariat

#### 4. Participants

Participants: Hon. Roy Cullen (MP, Canada)  
Senator Fernando Rodriguez Calvo (Bolivia)  
Senator Haide Giri (Argentina)  
Senator César Jauregui Robles, (Mexico)  
Senator Mary K. King (Trinidad and Tobago)  
Diputado Colbert Martins (Brazil)  
Diputado Hugo Ruiz (Ecuador)  
Senator Jorge Saravia (Uruguay)  
Diputada Eliana Garcia Laguna (Mexico)

Technical Experts: Alejandro Tovar Alvarez, Scotiabank  
Marcelo Decoud, Chief Executive of the Regional CLAIF AML Training Program for Latin America and Hispanic Caribbean, International Compliance Association  
Professor Ricardo Gluyas Millan, Instituto Nacional de Ciencias Penales

Observers: Monica Lorena Orozco Guerrero (Instituto Panamericano de Alta Direccion de Empresas- Red de lideres del Master)  
Luciano Weizberger  
Alejandro Arreucochea (Canadian Embassy)  
Adriana Caudillo (Canadian Embassy)  
John D. Baxter (Embassy of the United States)

#### 5. Lessons Learned

*The Anti Money Laundering Training for Latin American and Caribbean Parliamentarians Seminar* was a success on a number of fronts. Participants expressed pleasure at the knowledge they had gained during the course of the event and the importance of sharing experiences amongst colleagues from across the Latin American and Caribbean region. Some of the valuable lessons learned from this seminar include:

- ✓ There was great value in having a technical expert from the financial sector. This

provides participants with information on how AML and CFT legislation is being practically implemented – both successes and failures.

- ✓ Each region of the world faces a unique set of challenges when it comes to the fight against money laundering and the financing of terrorism. Participants in a previous GOPAC AML Seminar in East Africa identified in-country laundering of funds to be of particular concern whereas, in this seminar, money laundering as related to drug and weapons trafficking were identified. Despite these differences, it is abundantly evident that commonalities exist that can be used for future dialogue i.e. harmonization of legislation, repatriation of funds, technical cooperation amongst nations etc.
- ✓ Focusing participants on a particular action item (i.e. a resolution) is an effective tool for pushing the agenda forward.
- ✓ Although the technical experts present were excellent, future events should ensure the inclusion of experts from such international organisations as the World Bank, UNODC or IMF. This would ensure adequate coverage of global trends, initiatives and responses to Money Laundering and the Financing of Terrorism.

**For more information on this event, or regarding GOPAC's Anti Money Laundering Initiative, please contact Meaghan Campbell at [campbme@parl.gc.ca](mailto:campbme@parl.gc.ca)**